



Federal Bureau of Investigation
Washington, D.C. 20535

June 29, 2015

MR. PATRICK MCCRANEY
BETTER GOVERNMENT ASSOCIATION
SUITE 900
223 WEST JACKSON BOULEVARD
CHICAGO, IL 60606

FOIPA Request No.: 1279953-001
Release No.: 310783
Subject: DIXON, ALAN J

Dear Mr. McCraney:

You were previously advised we were consulting with another agency concerning information located as a result of your Freedom of Information request.

This consultation is complete and the enclosed material is being released to you with deletions made pursuant to Title 5, United States Code, Section(s) 552/552a as noted below. See the enclosed form for an explanation of these exemptions.

| Section 552 | Section 552a |
|--|---|
| <input type="checkbox"/> (b)(1) | <input type="checkbox"/> (b)(7)(A) |
| <input type="checkbox"/> (b)(2) | <input type="checkbox"/> (b)(7)(B) |
| <input type="checkbox"/> (b)(3) | <input checked="" type="checkbox"/> (b)(7)(C) |
| _____ | <input checked="" type="checkbox"/> (b)(7)(D) |
| _____ | <input type="checkbox"/> (b)(7)(E) |
| _____ | <input type="checkbox"/> (b)(7)(F) |
| <input type="checkbox"/> (b)(4) | <input type="checkbox"/> (b)(8) |
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| <input checked="" type="checkbox"/> (b)(6) | <input type="checkbox"/> (d)(5) |
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| | <input type="checkbox"/> (k)(6) |
| | <input type="checkbox"/> (k)(7) |

8 pages were reviewed and 8 pages are being released.

Deletions were made by Internal Revenue Service. To appeal these denials, please write to FOIA Appeals, 5045 E. Butler Ave, M/stop 55201 Fresno, California 93727-5136.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

For questions regarding our determinations, visit the www.fbi.gov/foia website under "Contact Us." The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request. Your patience is appreciated.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), U.S. Department of Justice, 1425 New York Ave., NW, Suite 11050, Washington, D.C. 20530-0001, or you may submit an appeal through OIP's eFOIA portal at <http://www.justice.gov/oip/efoia-portal.html>. Your appeal must be received by OIP within sixty (60) days from the date of this letter in order to be considered timely. The envelope and the letter should be clearly marked "Freedom of Information Appeal." Please cite the FOIPA Request Number in any correspondence to us for proper identification of your request.



See additional information which follows.

Sincerely,



David M. Hardy
Section Chief,
Record/Information
Dissemination Section
Records Management Division

Enclosure(s)

EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FOIPA # : 1279953-1

Requester Name(s) :

BETTER GOVERNMENT ASSOCIATION

MCCRANEY, PATRICK Mr.

Subject :

DIXON, ALAN

ALAN JOHN DIXON

This summary memorandum contains the results of a Level II background investigation concerning Mr. Dixon, which addressed the past fifteen years of his life. Inquiries were conducted in the United States as to Mr. Dixon's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Birth

Mr. Dixon was born on July 7, 1927, in Belleville, Illinois.

Education

Mr. Dixon attended Washington University, St. Louis, Missouri, receiving a J.D. degree in September, 1949.

Military Service

Mr. Dixon enlisted in the United States Army Reserve on August 22, 1944, and served in an inactive until May 16, 1945, when he was honorably discharged as a private (E-2). He enlisted in the United States Navy Reserve (USNR) on May 25, 1945, and served in an inactive status until July 2, 1945, when he entered on active duty. Mr. Dixon was honorably discharged as a seaman recruit (E-1) by reason of acceptance of appointment as an officer in the USNR.

On April 28, 1949, he was appointed an ensign (O-1) in the USNR and served in an inactive status until June 30, 1961, when he was honorably discharged as a lieutenant junior grade. His military file contained no unfavorable information.

Employment

October, 1979, to
January, 1981

Continued to be employed by the
State of Illinois, Springfield,
Illinois, as Secretary of State

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir. _____
Crim. Inv. _____
CJS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public
& Cong. Atts. _____
Director's Office _____

RETURN TO [REDACTED] ROOM 4371

MAIL ROOM

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FBI/DOJ

Alan John Dixon

January, 1981, to
January, 1993

United States Senate, Washington,
D.C., as a Senator from Illinois

January, 1993, to
the present

Bryan Cave Law Firm,
St. Louis, as an Attorney and
Partner

In addition to the above-mentioned employments, Mr. Dixon serves on the Board of Directors of the following organizations; MID-CITCO/MID City Bank, Hereiu Welfare-Pension Fund, National Futures Association, FGI Wireless Limited, and the Doctors Hospital, all of Chicago, Illinois. He also serves as a Chairman of the Advisory Committee for Mark Twain Bank, Fairview Heights, Illinois.

Family Status

Mr. Dixon is married to the former [redacted] has indicated that his wife is a United States citizen. They reside in Apartment 2 at 7535 Claymont Court, Belleville, Illinois.

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Mr. Dixon's parent, Elsa and William Dixon, are deceased. In addition to [redacted] Mr. Dixon has listed the following living close relatives:

[redacted]

Interviews

Fifty-eight persons, consisting of current and former superiors and colleagues, present neighbors, references, professional associates, social acquaintances, were interviewed. They provided favorable comments concerning Mr. Dixon's character, associates, reputation, and loyalty.

Alan John Dixon

All persons interviewed during the course of this background investigation stated they are unaware of any illegal drug use or alcohol abuse by Mr. Dixon, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Dixon lives within his financial means. All persons interviewed recommended him for a position of trust and responsibility.

Among those interviewed are the following:

Sam Nunn, United States Senator from Tennessee, Washington, D. C.;

Strom Thurmond, United States Senator from South Carolina; Washington, D. C.;

Paul Simon, United States Senator from Illinois, Washington, D. C.; and

[redacted] Partner and Member of the Executive Committee, Bryan Cave Law Firm, St. Louis, Missouri;

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Financial Responsibility

A search of computerized credit records, which was conducted at FBI Headquarters in October, 1994, disclosed no pertinent information concerning Mr. Dixon.

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Dixon.

Mr. Dixon indicated on his Standard Form 86 dated October 3, 1994, that he was charged with Driving Under the Influence (DUI) in January, 1978. He further indicated that he plead guilty to reckless driving in Magistrate Court, Palm Springs, California.

Records of the Municipal and Superior Court, Indio, California, revealed no record concerning Mr. Dixon's DUI conviction in 1978. The clerk of the court advised that all misdemeanor convictions are purged after eight years.

Alan John Dixon

Professional Affiliations

Mr. Dixon is eligible to practice law in the States of Missouri and Illinois. According to information available, no grievances have been filed against him.

Miscellaneous

A search of NEXIS, a computerized news retrieval service, disclosed no pertinent information concerning Mr. Dixon.

Mr. Dixon advised during a routine interview that during the summer of 1946, prior to being discharged from the Navy Reserve, he had been picked up by the shore patrol in Waukegan, Illinois, after using another enlisted man's identification card. He explained that he appeared before the Captain's Mast and was fined approximately \$50.00. He also advised that he had been arrested in January, 1978, on a Driving Under the Influence (DUI) charge in Palm Springs, California. Mr. Dixon commented that because he was a public official he did not fight the charge; however, he secured the services of an attorney and had the case pleaded down to reckless driving. He stated that he does not recall the amount of the fine which was paid.

He advised that he had been a non-resident member of the Burning Tree Country Club, Bethesda, Maryland, which is a club for men only, until he resigned his membership on September 30, 1994. Mr. Dixon denied ever being a member of any other organization which has restrictive membership based on race, sex, color, or religion.

Agency Checks

Information has been received from the Federal Election Commission (FEC) indicating that on August 7, 1986, a complaint was filed with the FEC by the [redacted] for the United States Senate committee. The complaint alleged violations of certain sections of the Federal Election Campaign Act of 1971. On December 18, 1986, the FEC reviewed these allegations. Based on the information provided by the complainants, the FEC found that there was no reason to believe that a violation of the Act had been committed.

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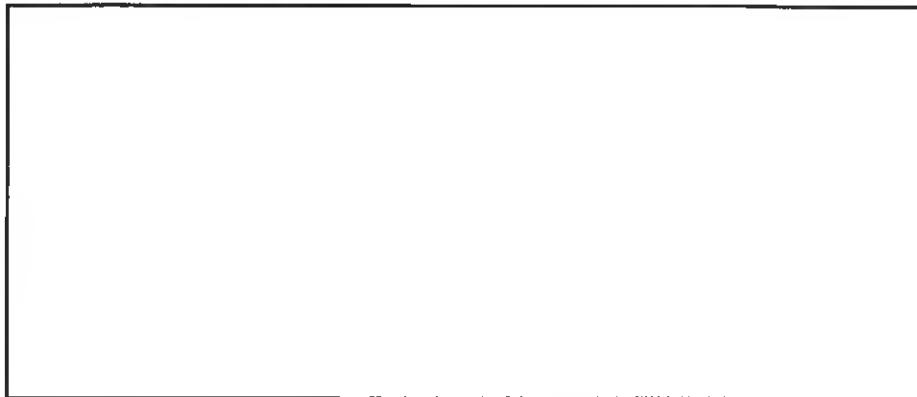
Alan John Dixon

Information has been received from the U. S. Attorney's Office, Washington, D. C., indicating a civil action was filed on March 27, 1989, by Eldon L. Yocum, a convicted felon, appearing pro se, against Mr. Dixon as a United States Senator from Illinois and Federal Judges from the Central District of Illinois. In 1984, Mr. Yocum was convicted for extortion in United States District Court in the Central District of Illinois. At the time of his sentencing, the judge characterized him as "a walking time bomb and a menace to himself and to the community."

During the course of this background investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Dixon, unless otherwise noted in this summary memorandum:

United States Senate;
Federal Election Commission;
Illinois Attorney General's Office;
Illinois Board of Election;
Central Intelligence Agency;
Office of Personnel Management;
Public Integrity Section and appropriate
United States Attorneys,
Department of Justice;
United States Secret Service;
and the White House Office.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division, the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with Mr. Dixon or his close relatives, except the following:

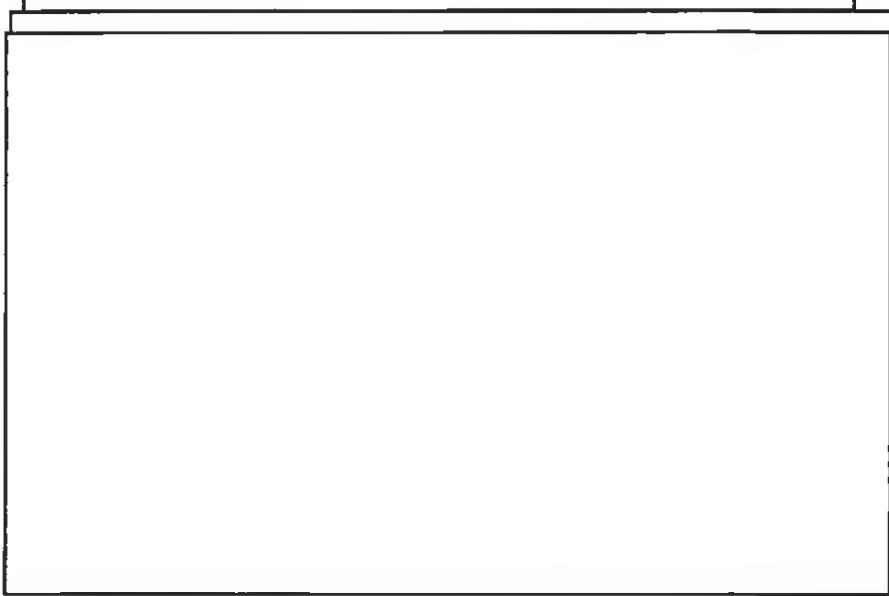


b7D per FBI and IRS

Alan John Dixon

FBI files also indicate that an individual by
the name of [REDACTED]

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It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.

FEDERAL BUREAU OF INVESTIGATION

| | | | |
|----------------------------------|----------------------------|---|-----------------------------------|
| REPORTING OFFICE Springfield | OFFICE OF ORIGIN Bureau | DATE 10/6/94 | INVESTIGATIVE PERIOD 10/4-6/94 |
| TITLE OF CASE ALAN JOHN DIXON | | REPORT MADE BY SA [redacted] | TYPED BY: kss |
| | | CHARACTER OF CASE BACKGROUND INVESTIGATION - SPIN | |

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REFERENCE:

Bureau airtel (received via facsimile) to Chicago, et al, dated 10/4/94; SI tel, 10/5/94, and SI telcal to PX, 10/5/94.

-RUC-

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality have not been granted.

| | | | | |
|--|----------------------------|---|--|--|
| APPROVED <i>DSB</i> | SPECIAL AGENT IN CHARGE | DO NOT WRITE IN SPACES BELOW | | |
| COPIES MADE: <input checked="" type="checkbox"/> 2 - Bureau (161B-HQ-1080007) Attn: PSS [redacted] | Rm. 4371 | | | |
| 1 - Springfield (161B-HQ-1080007) | | | | |
| <i>CC DESTROY</i> | | | | |
| DISSEMINATION RECORD OF ATTACHED REPORT | | Notations <i>RJN</i> <i>A2571</i> | | |
| Agency | | | | |
| Request Recd. | | | | |
| Date Fwd. | | | | |
| How Fwd. | | | | |
| By | | | | |

161B-HQ-1080007

Springfield indices negative for appointee and names listed on application covered by the Springfield Division, with the exception of a reference to appointee in Springfield file [redacted] entitled, [redacted] ET AL; DRUGS; OO: SL," SI file [redacted] St. Louis airtel to the Bureau, dated 3/4/88, revealed

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b6 per FBI
b7C per FBI
b7D per FBI and IRS

SAC authority was granted for the telephonic interviews of:



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